

Meeting of the Board of Directors

February 3rd, 2015

Board Dinner

6:30pm – 8:30pm ET

i Ricchi

<http://iricchidc.com/>

1220 19th Street NW

Washington, DC

February 4th, 2015

Board Meeting

8:00am-2:45pm ET

In Person and GoTo Meeting

American Council for an Energy-Efficient Economy

529 14th Street N.W., Suite 600

<https://global.gotomeeting.com/join/143292109>

AGENDA

Wednesday, February 4th

GoToMeeting - <https://global.gotomeeting.com/join/143292109>

- | | |
|--------------------|---|
| 8:00 am – 8:15 am | Continental Breakfast and Mingler |
| 8:15 am – 8:30 am | Welcome and Call to Order (David) <ul style="list-style-type: none">• Agenda Review• Review and Approval of December Minutes |
| 8:30 am – 9:00 am | Operations Report (Ralph) <ul style="list-style-type: none">• Management update• NBI Headquarters Relocation |
| 9:00 am – 9:30 am | Forum Debrief and Discussion (All) |
| 9:30 am – 10:00 am | Generative Discussion: Framing the NBI Strategy (Ralph, All) <ul style="list-style-type: none">• 2015 Strategy: Getting to Zero, Codes & Policy, Program Support |

10:00 am – 10:15 am Break and Refreshments

10:15 am – 12:00 am **Generative Discussion: NBI Strategy**

- Ideal Clients, Ideal Projects, Ideal Staff, Ideal Board
- Partners
- Merger Opportunities

12:00 pm – 12:30 pm **Working Lunch - Staff Presentations** (Cathy, Mark, Jim)

12:30 pm – 1:00 pm **2014 Audited Financials Review** (Nathalie Renner, GM&C via GoToMeeting)

1:00 pm – 1:45 pm **Finance Committee Report and Discussion** (Ed, Ralph)

- Staff Fiscal Update Report
- 2015 Budget Review
- Finance Committee Discussion

1:45 pm – 2:15 pm **Fundraising update – Strategies and Streams** (Ralph)

2:15 pm – 2:30 pm **NBI Policies**

2:30 pm – 2:45 pm **Closing**

- 2015 Schedule and Future Board Meetings
- Other Business

2:45 pm **Adjourn**

MINUTES

Meeting of NBI Board of Directors

December 17, 2014, via Conference Call in-person with David Goldstein and Jan Berman

Attendees, representing a quorum of the Board

David Goldstein, NRDC

Michael McAteer, National Grid

Doug Baston, Independent Consultant

Jan Berman, PG&E

Jeff Harris, NEEA

Ed McGlynn, Nexant

Steve Nadel, ACEEE

Tom Barone, NYS Office of Parks, Rec & HP

NBI Staff

Ralph DiNola, CEO

A virtual meeting of the Board of Directors of New Buildings Institute was called to order by David Goldstein at 12:04 p.m. PDT for the purpose of transacting all proper business of the corporation.

Approval of November Board Meeting Minutes

Motion to approve: Ed McGlynn, Seconded by Michael McAteer

Tom Barone abstained

Scheduling Board Meetings

There was a discussion about planning board meeting and a request that a doodle poll for a future meeting will suggest three potential dates for the board meeting. When board members mark themselves as available, they will hold those dates on their calendar until they hear that the meeting is confirmed. Staff will select the best date within one week and confirm the date with the board.

Chief Executive Officer's Report

Ralph DiNola reported on the following items:

NBI Financial Update

Ralph presented the current financials for NBI and a discussion ensued.

Opportunities

Ralph presented a development update and 2015 fundraising activities including newly developed sponsorship materials, a review of staff business development activities, business pipeline, consulting engagements and an outline of the 2015 strategy. The board discussed several market opportunities for NBI to explore including grid modernization and DR. Need to evaluate current staff and assess what we need and what we have to respond to business opportunities.

Strategic Partnerships

The board discussed organizations that could be engaged in a more collaborative effort to build on strengths and compliment NBI.

The meeting was adjourned at 1:43 p.m. PST

DRAFT

 New Buildings Institute

2014 Financial Statements and Audit Letters

 Meeting of the Board of Directors

February 4, 2015

CONFIDENTIAL

Prepared by

Gary McGee & Co. LLP, Certified Public Accountants

New Buildings Institute

1 Letter to the Board of Directors

A letter fulfilling our professional obligation to communicate directly with the Board of Directors concerning the audit and the financial reporting and disclosure process for which management is responsible

2 Financial Statements

The organization's financial statements and other supplementary information as of and for the year ended June 30, 2014

3 No Material Weaknesses Letter

A letter reporting that no material weaknesses were identified during the audit of the organization's financial statements

4 Historical Summary and Key Performance Indicators

A review of the organization's historical trends and important financial ratios

GM&Co. Client Service Team

Gary McGee, *Partner*
Nathalie Renner, *Principal*
Arland Nicewander, *Senior Associate*

Information

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LETTER TO THE BOARD OF DIRECTORS

*The Board of Directors
New Buildings Institute, Inc.:*

We have audited the financial statements of New Buildings Institute, Inc. as of and for the year ended June 30, 2014, and have issued our report thereon dated December 5, 2014. Our professional standards require that we communicate with you concerning certain matters that may be of interest to you in fulfilling your obligation to oversee the financial reporting and disclosure process for which management is responsible. We have prepared the following comments to assist you in fulfilling that obligation.

1. The Auditor's Responsibility Under Professional Standards

Our responsibility under generally accepted auditing standards is to conduct an audit with the objective of forming and expressing an opinion about whether the financial statements are prepared, in all material respects, in accordance with accounting principles generally accepted in the United States. An audit conducted in accordance with generally accepted auditing standards is designed to obtain reasonable, rather than absolute, assurance about whether the financial statements as a whole are free from material misstatement, whether caused by error or fraud. We have no responsibility to plan and perform the audit to obtain reasonable assurance that misstatements that are not material to the financial statements, whether caused by error or fraud, are detected. Furthermore, the audit does not relieve management or those charged with governance of their responsibilities.

In planning and performing our audit of the financial statements, we considered the organization's internal control over financial reporting as a basis for designing audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the organization's internal control over financial reporting. Accordingly, we express no such opinion.

2. Significant Accounting Policies and Unusual Transactions

Management is responsible for the selection and use of appropriate accounting policies. The organization's significant accounting policies are disclosed in the notes accompanying the financial statements. During the year ended June 30, 2014, there were no changes in previously adopted accounting policies or their application, nor were there any significant unusual transactions or transactions in controversial or emerging areas for which there is a lack of authoritative guidance or consensus.

3. Management Judgments and Accounting Estimates

Accounting estimates are an integral part of financial statements prepared by management, and are based upon management's current judgments. Those judgments are normally based on knowledge and experience about past and current events, as well as assumptions about future events. Certain accounting estimates are particularly sensitive because of their significance to the financial statements and because of the possibility that future events affecting them may differ markedly from management's current judgments. Our responsibility is to determine that the Board of Directors is informed about the process used by management in formulating particularly sensitive accounting estimates and about the basis for our conclusions regarding the reasonableness of those estimates.

The significant accounting estimates contained in the organization's financial statements as of and for the year ended June 30, 2014 include, among others, (1) the collectibility of receivables, (2) depreciation expense, and (3) the functional allocation of expenses.

4. No Audit Adjustments

No adjustments resulted from the audit that, in our judgment, either individually or in the aggregate, had a significant quantitative or qualitative effect on the organization's financial reporting process.

5. No Disagreements With Management

We had no disagreements with management regarding the application of accounting principles, the basis for management's judgments about accounting estimates, the scope of our audit, disclosures to be included in the financial statements, or the wording of our report on the financial statements that, if not satisfactorily resolved, could be significant to the organization's financial statements or to our independent accountants' report.

6. No Consultation With Other Accountants

In some cases, management may decide to consult with other accountants about auditing and accounting matters. Management has informed us that there were no consultations with other independent public accountants regarding accounting or auditing matters relating to the current audit period.

7. No Significant Issues Discussed With Management Prior to Engagement

We generally discuss a variety of matters, including the application of accounting principles and auditing standards, with management each year prior to our retention as the organization's independent accountants. However, these discussions occurred in the normal course of our professional relationship and our responses were not a condition of our retention.

8. No Difficulties Encountered in Performing the Audit

We experienced no difficulties working with management during the performance of our audit. We received the full cooperation of management and staff.

9. Material Written Communications

Enclosed in this packet you will find a copy of our firm's management letter. In addition to this letter, management can provide you with copies of other material written communications from our firm, including the engagement letter and the management representation letter.



This report is intended solely for the information and use of management, the Board of Directors, and others within the organization, and is not intended to be and should not be used by anyone other than these specified parties.

December 5, 2014

New Buildings Institute, Inc.

Financial Statements and Other Information
as of and for the Year Ended June 30, 2014
and Report of Independent Accountants

NEW BUILDINGS INSTITUTE, INC.

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REPORT OF INDEPENDENT ACCOUNTANTS

*The Board of Directors
New Buildings Institute, Inc.:*

We have audited the accompanying financial statements of New Buildings Institute, Inc., which comprise the statement of financial position as of June 30, 2014, and the related statements of activities and cash flows for the year then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the organization's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the organization's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of New Buildings Institute, Inc. as of June 30, 2014, and the changes in its net assets and its cash flows for the year then ended in accordance with accounting principles generally accepted in the United States.

Supplementary Information

Our audit was conducted for the purpose of forming an opinion on the financial statements as a whole. The schedule of functional expenses on page 13 is presented for purposes of additional analysis and is not a required part of the financial statements. Such information is the responsibility of management and was derived from, and relates directly to, the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States. In our opinion, the information is fairly stated in all material respects in relation to the financial statements as a whole.

Summarized Comparative Information

We have previously audited New Buildings Institute, Inc.'s 2013 financial statements, and we expressed an unmodified audit opinion on those audited financial statements in our report dated December 3, 2013. In our opinion, the summarized comparative information presented herein as of and for the year ended June 30, 2013 is consistent, in all material respects, with the audited financial statements from which it has been derived.

December 5, 2014

NEW BUILDINGS INSTITUTE, INC.

STATEMENT OF FINANCIAL POSITION

JUNE 30, 2014

(WITH COMPARATIVE AMOUNTS FOR 2013)

	2014	2013
Assets:		
Cash and cash equivalents	\$ 549,486	761,378
Contract payments receivable (<i>note 3</i>)	250,373	139,431
Grants and contributions receivable (<i>note 4</i>)	50,000	220,000
Prepaid expenses and other assets	25,744	22,004
Property and equipment (<i>note 5</i>)	12,906	23,196
Total assets	\$ 888,509	1,166,009
Liabilities:		
Accounts payable and accrued expenses	165,786	16,569
Accrued vacation and payroll expenses	148,600	165,308
Total liabilities	314,386	181,877
Net assets:		
Unrestricted:		
Available for programs and general operations	(82,553)	99,823
Net investment in capital assets	12,906	23,196
Total unrestricted	(69,647)	123,019
Temporarily restricted (<i>note 6</i>)	643,770	861,113
Total net assets	574,123	984,132
Commitments and contingencies (<i>notes 11 and 12</i>)		
Total liabilities and net assets	\$ 888,509	1,166,009

See accompanying notes to financial statements.

NEW BUILDINGS INSTITUTE, INC.

STATEMENT OF ACTIVITIES

YEAR ENDED JUNE 30, 2014
(WITH COMPARATIVE TOTALS FOR 2013)

	2014			2013
	Unrestricted	Temporarily restricted	Total	
Revenues, gains, and other support:				
Contract service revenues (<i>note 7</i>)	\$ 1,031,979	–	1,031,979	1,296,324
Sponsorship revenues (<i>note 8</i>)	162,437	397,563	560,000	587,500
Grants	–	600,000	600,000	510,000
Publication sales	6,388	–	6,388	8,044
Other	971	–	971	7,576
Loss on disposal of assets	(1,703)	–	(1,703)	–
Total revenues and other support	1,200,072	997,563	2,197,635	2,409,444
Net assets released from restrictions (<i>note 9</i>)	1,214,906	(1,214,906)	–	–
Total revenues, gains, and other support	2,414,978	(217,343)	2,197,635	2,409,444
Expenses (<i>note 10</i>):				
Program services	1,840,630	–	1,840,630	2,549,460
Management and general	504,624	–	504,624	622,913
Fundraising	262,390	–	262,390	113,928
Total expenses	2,607,644	–	2,607,644	3,286,301
Decrease in net assets	(192,666)	(217,343)	(410,009)	(876,857)
Net assets at beginning of year	123,019	861,113	984,132	1,860,989
Net assets at end of year	\$ (69,647)	643,770	574,123	984,132

See accompanying notes to financial statements.

NEW BUILDINGS INSTITUTE, INC.

STATEMENT OF CASH FLOWS

YEAR ENDED JUNE 30, 2014
(WITH COMPARATIVE TOTALS FOR 2013)

	2014	2013
Cash flows from operating activities:		
Cash received from grantors, contractors, and others	\$ 2,257,831	2,864,059
Cash received from interest	565	804
Cash paid to employees, contractors, and suppliers	(2,469,414)	(3,555,368)
Net cash used in operating activities	(211,018)	(690,505)
Cash flows from investing activities:		
Purchase of capital assets	(1,569)	(2,039)
Proceeds from the sale of capital assets	695	-
Net cash used in investing activities	(874)	(2,039)
Net decrease in cash and cash equivalents	(211,892)	(692,544)
Cash and cash equivalents at beginning of year	761,378	1,453,922
Cash and cash equivalents at end of year	\$ 549,486	761,378

See accompanying notes to financial statements.

NOTES TO FINANCIAL STATEMENTS

YEAR ENDED JUNE 30, 2014

1. Organization

New Buildings Institute, Inc. (the “Institute”) is an independent, nonprofit organization founded in 1997 and dedicated to making buildings better for people and the environment. The Institute promotes energy efficiency in buildings through policy development, research, training programs, and the development of guidelines and codes. The Institute works with national, regional, state, and utility groups and serves as a “carrier” of ideas among states and regions regarding efficient, effective, and “advanced” buildings.

2. Summary of Significant Accounting Policies

The significant accounting policies followed by the Institute are described below to enhance the usefulness of the financial statements to the reader.

Basis of Accounting – The accompanying financial statements have been prepared on the accrual basis of accounting in accordance with generally accepted accounting principles and the principles of fund accounting. Fund accounting is the procedure by which resources for various purposes are classified for accounting purposes in accordance with activities or objectives specified by donors.

Basis of Presentation – The Institute has adopted the provisions of Financial Accounting Standards Board (“FASB”) Accounting Standards Codification (“ASC”) No. 958-605, *Revenue Recognition* and FASB ASC No. 958-205, *Presentation of Financial Statements*. Under these provisions, net

assets and all balances and transactions are presented based on the existence or absence of donor-imposed restrictions. Accordingly, the net assets of the Institute and changes therein are classified and reported as follows:

- *Unrestricted net assets* – Net assets not subject to donor-imposed stipulations.
- *Temporarily restricted net assets* – Net assets subject to donor-imposed stipulations that will be met either by actions of the Institute and/or the passage of time.

Expenses are reported as decreases in unrestricted net assets. Gains and losses on investments and other assets or liabilities are reported as increases or decreases in unrestricted net assets unless their use is restricted by explicit donor stipulation or by law. Expirations of temporary restrictions on net assets (i.e., the donor-stipulated purpose has been fulfilled and/or the stipulated time period has elapsed) are reported as net assets released from restrictions.

Use of Estimates – The preparation of financial statements in conformity with generally accepted accounting principles requires that management make estimates and assumptions that affect the reported amounts of assets and liabilities, the disclosure of contingent assets and liabilities at the date of the financial statements, and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates. In the opinion of management, such differences, if any, would not be significant.

Cash Equivalents – For purposes of the financial statements, the organization considers all liquid investments having initial maturities of three months or less to be cash equivalents.

Contributions – Contributions, which include unconditional promises to give (pledges), are recognized as revenues in the period the commitment is received. Conditional promises to give are not recognized until they become unconditional, that is when the conditions on which they depend are substantially met. Contributions of assets other than cash are recorded at their estimated fair value.

In-Kind Contributions – Significant services received which create or enhance a non-financial asset or require specialized skills that the Institute would have purchased if not donated are recognized in the statement of activities. During the year ended June 30, 2014, no contributed services were recorded.

In-kind contributions of equipment, materials, and the free use of facilities are recorded when there is an objective basis upon which to value the contributions and where the contributions are an essential part of the Institute's activities. During the year ended June 30, 2014, no contributions of equipment, materials, or the free use of facilities were recorded.

Revenue Recognition – All contributions and grants are considered available for unrestricted use unless specifically restricted by the donor. Service revenues are recognized at the time services are provided and the revenues are earned.

Capital Assets and Depreciation – Generally, property and equipment with a carrying value of \$1,000 or more is capitalized and reported at cost when purchased, and at fair value when acquired by gift. Depreciation is provided on a straight-line basis over the estimated useful lives of the respective assets, which is generally 7 years for furniture, 3 to 5 years for computers and office equipment, and the term of the lease for leasehold improvements.

Concentrations of Credit Risk – The organization's financial instruments consist primarily of cash equivalents, which may subject the organization to concentrations of credit risk as, from time to time, for example, cash balances may exceed amounts insured by the Federal Deposit Insurance Corporation ("FDIC").

All checking and savings accounts, money market deposit accounts, and certificates of deposit are insured by the FDIC for up to \$250,000 for each depositor, for each insured bank, for each account ownership category. At June 30, 2014, the Institute held \$332,727 in excess of FDIC limits.

Certain receivables may also, from time to time, subject the organization to concentrations of credit risk. To minimize its exposure to significant losses from customer or donor insolvencies, the organization's management evaluates the financial condition of its customers and donors, and monitors concentrations of credit risk arising from similar geographic regions, activities, or economic characteristics. When necessary, receivables are reported net of an allowance for uncollectible accounts.

Advertising and Promotional Expenses – Advertising and promotional costs are charged to expense as they are incurred.

Income Taxes – The Institute is exempt from federal and state income taxes under Section 501(c)(3) of the Internal Revenue Code and comparable state law. In addition, the Institute has been recognized as a public charity under Section 509(a)(2) of the Internal Revenue Code. For tax purposes, the Institute's open audit periods are for the years ended June 30, 2011 through 2013.

The Institute has adopted the recognition requirements for uncertain income tax positions as required by FASB ASC No. 740-10, *Income Taxes*. Under this standard, income tax benefits are recognized for income tax positions taken or expected to be taken in a tax return only when it is determined that the income tax position will more-likely-than-not be sustained upon examination by taxing authorities.

Subsequent Events – As required FASB ASC No. 855-10, *Subsequent Events*, subsequent events have been evaluated by management through December 5, 2014, which is the date the financial statements were available to be issued.

Summarized Financial Information for 2013 – The accompanying financial information as of and for the year ended June 30, 2013, is presented for comparative purposes only and is not intended to represent a complete financial statement presentation.

Other Significant Accounting Policies – Other significant accounting policies are set forth in the financial statements and the following notes.

3. Contract Payments Receivable

Contract payments receivable at June 30, 2014 are summarized as follows:

California Public Utility Commission	\$ 118,778
California Institute of Energy and Environment	36,050
Northwest Energy Efficiency Alliance	18,471
Madison Housing Project	16,510
Others under \$10,000	60,564
	\$ 250,373

All receivables listed above are expected to be fully collected.

4. Grants and Contributions Receivable

Grants and contributions receivable at June 30, 2014 represent unconditional promises to give totaling \$50,000, expected to be collected in less than one year.

5. Property and Equipment

A summary of property and equipment at June 30, 2014 is as follows:

Office equipment	\$ 58,901
Furniture	11,283
Leasehold improvements	5,186
Intangible assets ¹	8,189
	83,559
Less accumulated depreciation and amortization	(70,653)
	\$ 12,906

¹ Intangible assets include patents and domain rights, which are amortized over a five-year period. Net book value of these assets as of June 30, 2014 totaled \$4,192.

6. Restrictions and Limitations on Net Asset Balances

At June 30, 2014, temporarily restricted net assets totaled \$643,770 and consisted of grants and contributions available for the following projects:

Accelerating Demand for Ultra-Efficient Commercial Buildings	\$ 308,017
Development of Multifamily Energy Efficiency Programs for Gas and Electric Utilities	95,449
Advanced Codes	80,616
Comnet Portal and EUI Target Development	80,350
Deep Energy Savings in Existing Commercial Buildings	75,258
Advanced Buildings	4,080
	\$ 643,770

7. Contract Service Revenues

During the year ended June 30, 2014, the Institute earned revenues under various contracts held with the following organizations:

California Public Utility Commission	\$ 252,567
Northwest Energy Efficiency Alliance	225,727
Fiji Ministry of Works, Transport and Public Utilities	167,540
California Institute of Energy and Environment	71,126
District Department of the Environment	65,000
The Weidt Group	48,425
Better Buildings, Inc.	22,044
Portland Energy Conservation, Inc.	20,970
Brendle Group	20,830
Others under \$20,000	137,750
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	\$ 1,031,979

8. Sponsorship Revenues

During the year ended June 30, 2014, the Institute received sponsorship revenues (i.e., contributions) in support of the following activities and projects:

Initiative development	\$ 366,250
General operations	100,000
Advanced Building Initiative	50,000
Zero Net Energy Forum	43,750
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	\$ 560,000

9. Net Assets Released from Restrictions

During the year ended June 30, 2014, the Institute incurred \$1,214,906 in expenses in satisfaction of restricted purposes specified by sponsors or grantors, or satisfied the restrictions by the occurrence of other events specified by donors. Accordingly, a reclassification from temporarily restricted net assets to unrestricted net assets has been recorded in the accompanying statement of activities.

10. Expenses

The costs of providing the various programs and other activities of the Institute have been summarized on a functional basis in the statement of activities. Accordingly, certain costs have been allocated among the programs and supporting services benefited.

11. Operating Lease Commitments

The Institute leases its offices under an operating lease agreement expiring in June of 2015. Future minimum rental payments required under this operating lease total \$82,136. Rent expense for the year ended June 30, 2014 totaled \$98,038.

12. Retirement Plan

The Institute provides all employees with a defined contribution retirement savings plan, as described under Section 401(k) of the Internal Revenue Code. Participating employees may make voluntary contributions to the plan on a pre-tax basis, up to the limits allowed by law, from the first day of the month following the date of employment. For the year ended June 30, 2014, the Institute made an annual safe harbor contribution equal to 3.0% of the annual compensation of each employee and an additional 2.0% matching contribution. Employees are fully vested at all times. Employees select from among several investment options. The Institute's contributions to the plan totaled \$58,714 for the year ended June 30, 2014.

13. Significant Sources of Revenue

Approximately 54.13% of the Institute's total revenues for the year ended June 30, 2014 was provided by four grantors and contractors.

14. Statement of Cash Flows Reconciliation

The following presents a reconciliation of the decrease in net assets (as reported on the statement of activities) to net cash used in operating activities (as reported on the statement of cash flows) for the year ended June 30, 2014:

Decrease in net assets	\$ (410,009)
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<i>Adjustments to reconcile decrease in net assets to net cash used in operating activities:</i>	
Depreciation and amortization	9,461
Loss on disposal of assets	1,703
<i>Net changes in:</i>	
Contract payments receivable	(110,942)
Grants and contributions receivable	170,000
Prepaid expenses and other assets	(3,740)
Accounts payable and accrued expenses	149,217
Accrued vacation and payroll expenses	(16,708)
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Total adjustments	198,991
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Net cash used in operating activities	\$ (211,018)
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NEW BUILDINGS INSTITUTE, INC.

SCHEDULE OF FUNCTIONAL EXPENSES

YEAR ENDED JUNE 30, 2014
(WITH COMPARATIVE TOTALS FOR 2013)

	2014			Total	2013
	Program services	Management and general	Fundraising		
Salaries and related expenses	\$ 1,137,997	426,749	213,374	1,778,120	2,232,857
Project subcontractors	432,041	–	–	432,041	531,318
Project direct costs	144,225	–	–	144,225	218,415
Occupancy	71,678	26,879	13,440	111,997	126,506
Travel	380	142	71	593	18,223
Telecommunications	8,936	3,351	1,676	13,963	18,088
Professional services	–	30,488	25,322	55,810	23,052
Equipment maintenance and repair	3,061	1,148	574	4,783	5,978
Computer services	13,121	4,920	2,460	20,501	25,390
Office supplies	5,693	2,135	1,067	8,895	12,528
Conference fees	463	174	87	724	10,954
Insurance	2,577	966	483	4,026	5,994
Memberships and subscriptions	2,612	980	490	4,082	9,772
Taxes	3,741	1,403	701	5,845	4,437
Board and staff meetings	6,553	2,457	1,229	10,239	14,227
Advertising and public relations	798	299	150	1,247	824
Postage and delivery	433	162	81	676	314
Bad debt expense	–	–	–	–	13,443
Other	266	100	50	416	868
Total expenses before depreciation	1,834,575	502,353	261,255	2,598,183	3,273,188
Depreciation	6,055	2,271	1,135	9,461	13,113
Total expenses	\$ 1,840,630	504,624	262,390	2,607,644	3,286,301

GOVERNING BOARD AND MANAGEMENT

Board of Directors

David Goldstein, *President*
Natural Resources Defense Council

Michael McAteer, *Vice President*
National Grid, USA

George Malek, *Treasurer*
ComEd

Douglas Baston, *Secretary*
North Atlantic Energy Advisors

Gregg Ander
The Energy Foundation

Marge Anderson
Energy Center of Wisconsin

Jan Berman
Pacific Gas & Electric

Thomas Barone
NYSERDA

Jeff Harris
Northwest Energy Efficiency Alliance

Edward McGlynn
ICF International

Steve Nadel
American Council for an Energy Efficient Economy

Brendan Owens
U.S. Green Building Council

Kurt Stenberg
Consulting Engineer

John Wilson, *Emeritus*
The Energy Foundation

Management

Ralph DiNola
Executive Director

NEW BUILDINGS INSTITUTE, INC.

INQUIRIES AND OTHER INFORMATION

NEW BUILDINGS INSTITUTE, INC.

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(360) 567-0950

(360) 213-1065 Fax

Associated Web sites

www.newbuildings.org

www.advancedbuildings.net

www.gettingto50.org

www.leadingedgecompetition.org

NO MATERIAL WEAKNESSES LETTER

*The Board of Directors
New Buildings Institute, Inc.:*

In planning and performing our audit of the financial statements of New Buildings Institute, Inc. as of and for the year ended June 30, 2014, in accordance with auditing standards generally accepted in the United States, we considered New Buildings Institute, Inc.'s internal control over financial reporting ("internal control") as a basis for designing auditing procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the organization's internal control. Accordingly, we do not express an opinion on the effectiveness of the organization's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A material weakness is a deficiency, or a combination of deficiencies in internal control, such that there is a reasonable possibility that a material misstatement of the organization's financial statements will not be prevented, or detected and corrected, on a timely basis.

Our consideration of internal control was for the limited purpose described in the first paragraph and was not designed to identify all deficiencies in internal control that might be material weaknesses. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

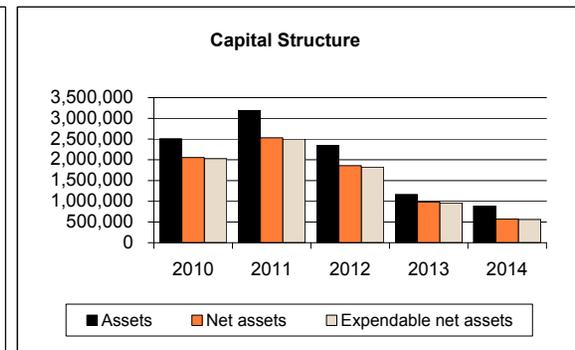
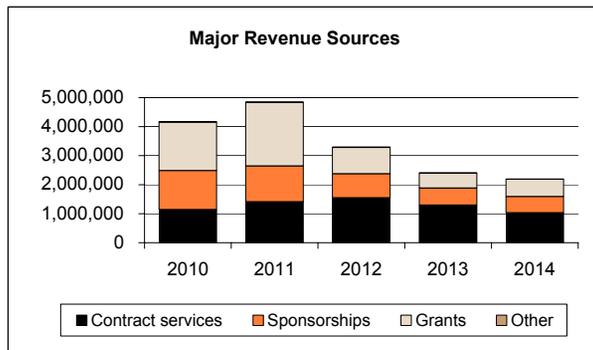
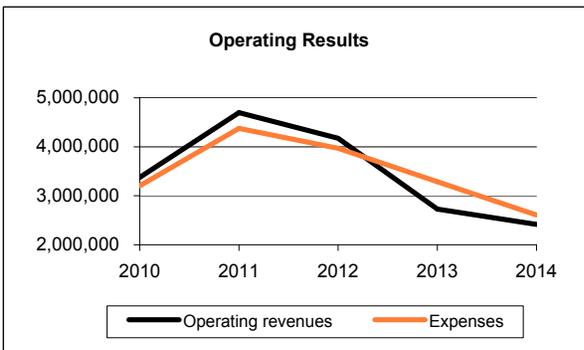
This communication is intended solely for the information and use of management, the Board of Directors, and others within the organization, and is not intended to be and should not be used by anyone other than these specified parties.

December 5, 2014

New Buildings Institute, Inc.

Historical Summary 2010-2014

YEARS ENDED JUNE 30,		2010	2011	2012	2013	2014	\$ change	% change
1	Total revenues	\$4,174,014	4,851,084	3,301,270	2,409,444	2,197,635	-211,809	-8.8%
2	Total expenses	3,203,262	4,376,684	3,970,620	3,286,301	2,607,644	-678,657	-20.7%
3	Surplus (deficit)	970,752	474,400	(669,350)	(876,857)	(410,009)	+466,848	+53.2%
4	Operating revenues	3,375,939	4,697,212	4,174,162	2,727,707	2,414,978	-312,729	-11.5%
5	Operating surplus (deficit)	172,677	320,528	203,542	(558,594)	(192,666)	+365,928	+65.5%
6	Assets	2,508,869	3,194,081	2,350,214	1,166,009	888,509	-277,500	-23.8%
7	Net assets	2,055,939	2,530,339	1,860,989	984,132	574,123	-410,009	-41.7%
8	Expendable net assets	2,029,830	2,501,986	1,826,719	960,936	561,217	-399,719	-41.6%
9	Contract service revenues	1,142,423	1,408,142	1,549,510	1,296,324	1,031,979	-264,345	-20.4%
10	Sponsorship revenues	1,344,905	1,237,523	829,500	587,500	560,000	-27,500	-4.7%
11	Grants	1,654,000	2,185,000	905,000	510,000	600,000	+90,000	+17.6%
12	Other revenues	32,686	20,419	17,260	15,620	5,656	-9,964	-63.8%
13	Program service expenses	2,762,360	3,818,816	3,267,905	2,549,460	1,840,630	-708,830	-27.8%
14	Management and general expenses	401,535	508,844	621,367	622,913	504,624	-118,289	-19.0%
15	Fundraising expenses	39,367	49,024	81,348	113,928	262,390	+148,462	+130.3%
16	Salaries and related expenses	1,640,284	1,904,343	2,144,456	2,232,857	1,778,120	-454,737	-20.4%



New Buildings Institute, Inc.

Evaluation of Key Ratios and Composite Index

KEY RATIOS		2010	2011	2012	2013	2014	% change	3-Year Avg.
1	Primary reserve ratio	63.4%	57.2%	46.0%	29.2%	21.5%	-26.4%	32.3%
2	Net income ratio	5.1%	6.8%	4.9%	-20.5%	-8.0%	+61.0%	-7.9%
3	Return on net assets	15.9%	15.6%	8.0%	-30.0%	-19.6%	+34.8%	-13.8%
4	Composite index (scale of 1 to 10)	6.1	6.2	4.2	-7.7	-3.8	+51.1%	-2.4

In determining the composite index, the primary reserve ratio is given a weighting of 55%, net income 15%, and return on net assets 30%. A score of 1.0 is ascribed to a primary reserve ratio of 13.3%, a net income ratio of 0.7%, and a return on net assets of 2.0%.

CONTRIBUTION & DEMAND RATIOS		2010	2011	2012	2013	2014	% change	3-Year Avg.
5	Contract service revenues as % of expenses	35.7%	32.2%	39.0%	39.4%	39.6%	+0.3%	39.3%
6	Sponsorship revenues as % of expenses	42.0%	28.3%	20.9%	17.9%	21.5%	+20.1%	20.1%
7	Grants as % of expenses	51.6%	49.9%	22.8%	15.5%	23.0%	+48.3%	20.4%
8	Other revenues as % of expenses	1.0%	0.5%	0.4%	0.5%	0.2%	-54.4%	0.4%
9	Program expenses as % of operating revenues	81.8%	81.3%	78.3%	93.5%	76.2%	-18.5%	82.7%
10	Management expenses as % of operating revenues	11.9%	10.8%	14.9%	22.8%	20.9%	-8.5%	19.5%
11	Fundraising expenses as % of operating revenues	1.2%	1.0%	1.9%	4.2%	10.9%	+160.1%	5.7%
12	Salaries as % of operating revenues	48.6%	40.5%	51.4%	81.9%	73.6%	-10.1%	69.0%

OTHER PERFORMANCE INDICATORS		2010	2011	2012	2013	2014	% change	3-Year Avg.
13	Cash balances on-hand at year-end	1,322,628	1,431,899	1,453,922	761,378	549,486	-27.8%	921,595
14	Cash needed for daily operations	8,898	12,157	11,030	9,129	7,243	-20.7%	9,134
15	Days of operating cash on-hand at year-end	149	118	132	83	76	-9.0%	97

New Buildings Institute, Inc.

Explanation and Analysis of Key Ratios

Primary Reserve Ratio

This ratio is calculated as expendable net assets divided by total expenses. It provides a snapshot of the organization's financial strength and flexibility by indicating how long the organization could function using its expendable reserves without relying on additional net assets generated by operations.

Trend analysis of the ratio indicates whether or not the organization has increased its net assets in proportion to the rate of growth in its operating size. It is reasonable to expect expendable net assets to increase at least in proportion to the rate of growth in operating size. If they do not, the same dollar amount of expendable net assets will provide a smaller margin of protection against adversity as the organization grows in dollar level of expenses. A negative or decreasing trend over time indicates a weakening financial condition.

In general, a ratio of 40% or better is advisable to give the organization the flexibility to transform the enterprise in the near future. The implication of 40% is that the organization would have the ability to cover about five months of expenses (i.e., 40% of 12 months). Organizations with such a ratio are generally able to rely on internal cash flow to meet short-term cash needs, are able to carry on a reasonable level of facilities maintenance, and are capable of managing modest unforeseen adverse financial events. If an organization is in the process of capital expansion, a temporary decline in the ratio would be expected. A ratio below 10% or 15% indicates that the organization's expendable net asset balances are in a position that generally requires short-term borrowing on a regular basis, and that the organization tends to struggle to have sufficient resources for reinvestment.

Net Income Ratio

This ratio results from dividing operating surplus by total operating revenues. At its very simplest, the ratio indicates whether total activities in a given year resulted in a surplus or a deficit. More importantly, it demonstrates whether the organization is

living within its available resources. Most organizations should target generally 2 to 4% as a goal for this ratio over an extended period of time, although the target will likely vary from year to year.

Return on Net Assets

Calculated as the change in operating net assets divided by total net assets at the beginning of the period, this ratio demonstrates whether the performance of the organization's financial assets supports its strategic direction. Because the long-term future of the organization depends, in part, on its ability to replace and enhance its capital base, managing its resource inflow streams is essential to achieving the organization's mission. The organization's Board must be wary of diversions that subvert progress toward achieving the mission.

This ratio basically determines whether the organization is financially better off than in previous years by measuring its total economic return. A decline in this ratio may be appropriate and even warranted if it reflects a strategy to better fulfill the organization's mission.

Organizations should establish a real rate of return target in the range of 3 to 4%. The real return plus the actual inflation index (e.g., the Consumer Price Index) will produce the nominal rate of return. Thus, in a period of relatively low inflation, long-term nominal returns should average approximately 6% annually to ensure reasonable growth in resources.

Again, as with any ratio, there is no one correct number. For example, if an organization's strategic plan calls for activities that will consume substantial resources, such as program expansion, a high return on net assets may be required in order to maintain a properly capitalized institution. Remember also that the organization's physical facilities are carried at book value instead of market value. On the other hand, deferred maintenance of facilities will represent an unfunded liability that is not recorded.

Return of Organization Exempt From Income Tax
Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

2013

Department of the Treasury
Internal Revenue Service

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990 and its instructions is at www.irs.gov/form990

Open to Public Inspection

A For the 2013 calendar year, or tax year beginning **JUL 1, 2013** and ending **JUN 30, 2014**

B Check if applicable: <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	C Name of organization NEW BUILDINGS INSTITUTE Doing Business As Number and street (or P.O. box if mail is not delivered to street address) Room/suite 1601 BROADWAY STREET City or town, state or province, country, and ZIP or foreign postal code VANCOUVER, WA 98663 F Name and address of principal officer: RALPH DINOLA SAME AS C ABOVE	D Employer identification number 68-0401509 E Telephone number 360-567-0950 G Gross receipts \$ 2,200,033. H(a) Is this a group return for subordinates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No H(b) Are all subordinates included? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No," attach a list. (see instructions) H(c) Group exemption number ▶
I Tax-exempt status: <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c) () ◀ (insert no.) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527		
J Website: ▶ WWW.NEWBUILDINGS.ORG		
K Form of organization: <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other ▶		L Year of formation: 1997 M State of legal domicile: CA

Part I Summary

	1 Briefly describe the organization's mission or most significant activities: TO PROMOTE ENERGY EFFICIENCY IN BUILDINGS.		
	2 Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.		
Activities & Governance	3 Number of voting members of the governing body (Part VI, line 1a)	3	14
	4 Number of independent voting members of the governing body (Part VI, line 1b)	4	14
	5 Total number of individuals employed in calendar year 2013 (Part V, line 2a)	5	30
	6 Total number of volunteers (estimate if necessary)	6	14
	7a Total unrelated business revenue from Part VIII, column (C), line 12	7a	0.
	b Net unrelated business taxable income from Form 990-T, line 34	7b	0.
Revenue	8 Contributions and grants (Part VIII, line 1h)	Prior Year	Current Year
	9 Program service revenue (Part VIII, line 2g)	1,097,500.	1,160,000.
	10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	1,304,368.	1,038,367.
	11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	804.	-1,138.
	12 Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	6,772.	406.
Expenses	13 Grants and similar amounts paid (Part IX, column (A), lines 1-3)	2,409,444.	2,197,635.
	14 Benefits paid to or for members (Part IX, column (A), line 4)	0.	0.
	15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	0.	0.
	16a Professional fundraising fees (Part IX, column (A), line 11e)	2,232,857.	1,778,120.
	b Total fundraising expenses (Part IX, column (D), line 25) ▶ 262,390.	0.	19,457.
	17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	1,053,444.	810,067.
	18 Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	3,286,301.	2,607,644.
	19 Revenue less expenses. Subtract line 18 from line 12	-876,857.	-410,009.
Net Assets or Fund Balances	20 Total assets (Part X, line 16)	Beginning of Current Year	End of Year
	21 Total liabilities (Part X, line 26)	1,166,009.	888,509.
	22 Net assets or fund balances. Subtract line 21 from line 20	181,877.	314,386.
		984,132.	574,123.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer _____	Date _____
	Type or print name and title _____	
Paid Preparer Use Only	Print/Type preparer's name YEE LEE MCGEE	Preparer's signature _____
	Date _____	Check if self-employed <input type="checkbox"/> PTIN P01294356
	Firm's name ▶ GARY MCGEE & CO. LLP	Firm's EIN ▶ _____
	Firm's address ▶ 808 S.W. THIRD AVENUE, SUITE 700 PORTLAND, OR 97204	Phone no. (503) 222-2515

May the IRS discuss this return with the preparer shown above? (see instructions) Yes No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III [X]

1 Briefly describe the organization's mission: SEE SCHEDULE O.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? [] Yes [X] No

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? [] Yes [X] No

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses.

4a (Code:) (Expenses \$ 1,840,630. including grants of \$) (Revenue \$ 1,038,773.)

THE INSTITUTE PROMOTES ENERGY EFFICIENCY IN BUILDINGS THROUGH POLICY DEVELOPMENT, RESEARCH, TRAINING PROGRAMS, AND THE DEVELOPMENT OF GUIDELINES AND CODES. THE INSTITUTE WORKS WITH NATIONAL, REGIONAL, STATE, AND UTILITY GROUPS, AND SERVES AS "CARRIER" OF IDEAS AMONG STATES AND REGIONS REGARDING EFFICIENT, EFFECTIVE AND "ADVANCED" BUILDINGS.

4b (Code:) (Expenses \$ including grants of \$) (Revenue \$)

4c (Code:) (Expenses \$ including grants of \$) (Revenue \$)

4d Other program services (Describe in Schedule O.) (Expenses \$ including grants of \$) (Revenue \$)

4e Total program service expenses 1,840,630.

Part IV Checklist of Required Schedules

		Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>	X	
2	Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> ?	X	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>		X
4	Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i>		X
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i>		X
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>		X
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>		X
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>		X
9	Did the organization report an amount in Part X, line 21, for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>		X
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>		X
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i>	X	
b	Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i>		X
c	Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i>		X
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i>		X
e	Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i>		X
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i>		X
12a	Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII</i>	X	
b	Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional</i>		X
13	Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>		X
14a	Did the organization maintain an office, employees, or agents outside of the United States?		X
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV</i>	X	
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? <i>If "Yes," complete Schedule F, Parts II and IV</i>		X
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? <i>If "Yes," complete Schedule F, Parts III and IV</i>		X
17	Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i>	X	
18	Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>		X
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>		X
20a	Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i>		X
b	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?		

Part IV Checklist of Required Schedules (continued)

	Yes	No
21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>		X
22 Did the organization report more than \$5,000 of grants or other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>		X
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>		X
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i>		X
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
25a Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>		X
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>		X
26 Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? If so, complete Schedule L, Part II		X
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i>		X
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>		X
b A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>		X
c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>		X
29 Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>		X
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>		X
34 Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i>		X
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?		X
b If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>		
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>		X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>		X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19?	X	

Note. All Form 990 filers are required to complete Schedule O

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V

Main form area containing questions 1a through 14b with columns for 'Yes' and 'No' and various input fields for numbers and text.

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI [X]

Section A. Governing Body and Management

Table with 3 columns: Question, Yes, No. Rows include: 1a (14), 1b (14), 2 (X), 3 (X), 4 (X), 5 (X), 6 (X), 7a (X), 7b (X), 8a (X), 8b (X), 9 (X).

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

Table with 3 columns: Question, Yes, No. Rows include: 10a (X), 10b, 11a (X), 11b, 12a (X), 12b (X), 12c (X), 13 (X), 14 (X), 15a (X), 15b (X), 16a (X), 16b.

Section C. Disclosure

- 17 List the states with which a copy of this Form 990 is required to be filed CA, WA
18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
19 Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.
20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: RALPH DINOLA - (360) 567-0950 1601 BROADWAY STREET, VANCOUVER, WA 98663

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) GREGG ANDER DIRECTOR	1.00	X						0.	0.	0.
(2) MARGE ANDERSON DIRECTOR	1.00	X						0.	0.	0.
(3) THOMAS BARONE DIRECTOR	1.00	X						0.	0.	0.
(4) JAN BERMAN DIRECTOR	1.00	X						0.	0.	0.
(5) JEFF HARRIS DIRECTOR	1.00	X						0.	0.	0.
(6) EDWARD MCGLYNN DIRECTOR	1.00	X						0.	0.	0.
(7) STEVE NADEL DIRECTOR	1.00	X						0.	0.	0.
(8) BRENDAN OWENS DIRECTOR	1.00	X						0.	0.	0.
(9) KURT STENBERG DIRECTOR	1.00	X						0.	0.	0.
(10) JOHN WILSON DIRECTOR	1.00	X						0.	0.	0.
(11) DAVID GOLDSTEIN PRESIDENT	1.00	X		X				0.	0.	0.
(12) MICHAEL MCATEER VICE PRESIDENT	1.00	X		X				0.	0.	0.
(13) GEORGE MALEK TREASURER	1.00	X		X				0.	0.	0.
(14) DOUGLAS BASTON SECRETARY	1.00	X		X				0.	0.	0.
(15) RALPH DINOLA EXECUTIVE DIRECTOR	40.00			X				82,587.	0.	6,547.
(16) ROCHELLE LAYTON OP. DIRECTOR, THROUGH JAN. 2014	40.00			X				92,821.	0.	15,629.
(17) RICK CAMPFIELD OP. DIRECTOR, BEGINNING APRIL 2014	40.00			X				0.	0.	0.

Part VIII Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII

			(A)	(B)	(C)	(D)		
			Total revenue	Related or exempt function revenue	Unrelated business revenue	Revenue excluded from tax under sections 512 - 514		
Contributions, Gifts, Grants and Other Similar Amounts	1 a	Federated campaigns	1a					
	b	Membership dues	1b					
	c	Fundraising events	1c					
	d	Related organizations	1d					
	e	Government grants (contributions)	1e	110,000.				
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	1,050,000.				
	g	Noncash contributions included in lines 1a-1f: \$						
	h	Total. Add lines 1a-1f		1,160,000.				
	Program Service Revenue	2 a	CONTRACT SERVICE FEES	Business Code 541900	1,031,979.	1,031,979.		
		b	PUBLICATION SALES	511190	6,388.	6,388.		
c								
d								
e								
f		All other program service revenue						
g		Total. Add lines 2a-2f		1,038,367.				
Other Revenue	3	Investment income (including dividends, interest, and other similar amounts)		565.			565.	
	4	Income from investment of tax-exempt bond proceeds						
	5	Royalties						
	6 a	Gross rents	(i) Real	(ii) Personal				
		Less: rental expenses						
		Rental income or (loss)						
		Net rental income or (loss)						
	7 a	Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other				
		Less: cost or other basis and sales expenses			695.			
		Gain or (loss)			2,398.			
		Net gain or (loss)			-1,703.			-1,703.
	8 a	Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c). See Part IV, line 18	a					
		Less: direct expenses	b					
		Net income or (loss) from fundraising events						
	9 a	Gross income from gaming activities. See Part IV, line 19	a					
Less: direct expenses		b						
Net income or (loss) from gaming activities								
10 a	Gross sales of inventory, less returns and allowances	a						
	Less: cost of goods sold	b						
	Net income or (loss) from sales of inventory							
Miscellaneous Revenue			Business Code					
11 a	OTHER	900099	406.	406.				
b								
c								
d	All other revenue							
e	Total. Add lines 11a-11d		406.					
12	Total revenue. See instructions.		2,197,635.	1,038,773.	0.	-1,138.		

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX X

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States. See Part IV, line 21				
2 Grants and other assistance to individuals in the United States. See Part IV, line 22				
3 Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	282,689.	89,496.	193,193.	
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	1,232,733.	870,907.	183,442.	178,384.
7 Other salaries and wages				
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	47,217.	33,952.	6,219.	7,046.
9 Other employee benefits	98,837.	68,990.	15,900.	13,947.
10 Payroll taxes	116,644.	74,652.	27,995.	13,997.
11 Fees for services (non-employees):				
a Management				
b Legal	5,845.		5,845.	
c Accounting	22,089.		22,089.	
d Lobbying				
e Professional fundraising services. See Part IV, line 17	19,457.			19,457.
f Investment management fees				
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.)	440,460.	432,041.	2,554.	5,865.
12 Advertising and promotion	1,247.	798.	299.	150.
13 Office expenses	23,534.	15,062.	5,648.	2,824.
14 Information technology	20,501.	13,121.	4,920.	2,460.
15 Royalties				
16 Occupancy	111,997.	71,678.	26,879.	13,440.
17 Travel	593.	380.	142.	71.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	10,963.	7,016.	2,631.	1,316.
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	9,461.	6,055.	2,271.	1,135.
23 Insurance	4,026.	2,577.	966.	483.
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a PROJECT DIRECT COSTS	144,225.	144,225.		
b OTHER	15,126.	9,680.	3,631.	1,815.
c				
d				
e All other expenses				
25 Total functional expenses. Add lines 1 through 24e	2,607,644.	1,840,630.	504,624.	262,390.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.				

Check here if following SOP 98-2 (ASC 958-720)

Part X Balance Sheet

Check if Schedule O contains a response or note to any line in this Part X

		(A) Beginning of year		(B) End of year	
Assets	1 Cash - non-interest-bearing	660,302.	1	508,225.	
	2 Savings and temporary cash investments	101,076.	2	41,261.	
	3 Pledges and grants receivable, net	220,000.	3	50,000.	
	4 Accounts receivable, net	139,431.	4	250,373.	
	5 Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		5		
	6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instr). Complete Part II of Sch L		6		
	7 Notes and loans receivable, net		7		
	8 Inventories for sale or use		8		
	9 Prepaid expenses and deferred charges	22,004.	9	25,744.	
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a 83,559.			
	b Less: accumulated depreciation	10b 70,653.	23,196.	10c 12,906.	
	11 Investments - publicly traded securities		11		
	12 Investments - other securities. See Part IV, line 11		12		
	13 Investments - program-related. See Part IV, line 11		13		
	14 Intangible assets		14		
	15 Other assets. See Part IV, line 11		15		
16 Total assets. Add lines 1 through 15 (must equal line 34)		1,166,009.	16	888,509.	
Liabilities	17 Accounts payable and accrued expenses	181,877.	17	314,386.	
	18 Grants payable		18		
	19 Deferred revenue		19		
	20 Tax-exempt bond liabilities		20		
	21 Escrow or custodial account liability. Complete Part IV of Schedule D		21		
	22 Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22		
	23 Secured mortgages and notes payable to unrelated third parties		23		
	24 Unsecured notes and loans payable to unrelated third parties		24		
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D		25		
	26 Total liabilities. Add lines 17 through 25		181,877.	26	314,386.
Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.				
	27 Unrestricted net assets	123,019.	27	-69,647.	
	28 Temporarily restricted net assets	861,113.	28	643,770.	
	29 Permanently restricted net assets		29		
	Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.				
	30 Capital stock or trust principal, or current funds		30		
	31 Paid-in or capital surplus, or land, building, or equipment fund		31		
	32 Retained earnings, endowment, accumulated income, or other funds		32		
33 Total net assets or fund balances		984,132.	33	574,123.	
34 Total liabilities and net assets/fund balances		1,166,009.	34	888,509.	

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	2,197,635.
2	Total expenses (must equal Part IX, column (A), line 25)	2	2,607,644.
3	Revenue less expenses. Subtract line 2 from line 1	3	-410,009.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	984,132.
5	Net unrealized gains (losses) on investments	5	
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	0.
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	574,123.

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII

		Yes	No
1	Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		X
2b	Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: <input checked="" type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	X	
2c	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.	X	
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		X
3b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits		

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public support. Subtract line 5 from line 4.						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
7 Amounts from line 4						
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
11 Total support. Add lines 7 through 10						
12 Gross receipts from related activities, etc. (see instructions)					12	
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here						<input type="checkbox"/>

Section C. Computation of Public Support Percentage

14 Public support percentage for 2013 (line 6, column (f) divided by line 11, column (f))	14	%
15 Public support percentage from 2012 Schedule A, Part II, line 14	15	%
16a 33 1/3% support test - 2013. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization		<input type="checkbox"/>
b 33 1/3% support test - 2012. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization		<input type="checkbox"/>
17a 10% -facts-and-circumstances test - 2013. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization		<input type="checkbox"/>
b 10% -facts-and-circumstances test - 2012. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization		<input type="checkbox"/>
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions		<input type="checkbox"/>

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	2,998,905.	3,422,523.	1,734,500.	1,097,500.	1,160,000.	10,413,428.
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose	1,170,537.	1,427,462.	1,563,925.	1,304,368.	1,038,367.	6,504,659.
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5	4,169,442.	4,849,985.	3,298,425.	2,401,868.	2,198,367.	16,918,087.
7a Amounts included on lines 1, 2, and 3 received from disqualified persons	1,000,000.	2,080,000.	605,000.	475,000.	605,000.	4,765,000.
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year	838,551.	815,158.	1,240,027.	1,119,938.	671,996.	4,685,670.
c Add lines 7a and 7b	1,838,551.	2,895,158.	1,845,027.	1,594,938.	1,276,996.	9,450,670.
8 Public support (Subtract line 7c from line 6.)						7,467,417.

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
9 Amounts from line 6	4,169,442.	4,849,985.	3,298,425.	2,401,868.	2,198,367.	16,918,087.
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	2,158.	1,099.	1,295.	804.	565.	5,921.
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b	2,158.	1,099.	1,295.	804.	565.	5,921.
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)	2,414.		1,550.	6,772.	406.	11,142.
13 Total support. (Add lines 9, 10c, 11, and 12.)	4,174,014.	4,851,084.	3,301,270.	2,409,444.	2,199,338.	16,935,150.

14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here**

Section C. Computation of Public Support Percentage

15 Public support percentage for 2013 (line 8, column (f) divided by line 13, column (f))	15	44.09 %
16 Public support percentage from 2012 Schedule A, Part III, line 15	16	47.64 %

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2013 (line 10c, column (f) divided by line 13, column (f))	17	.03 %
18 Investment income percentage from 2012 Schedule A, Part III, line 17	18	.04 %

19a 33 1/3% support tests - 2013. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization

b 33 1/3% support tests - 2012. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions

Part IV **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12.

Also complete this part for any additional information. (See instructions).

SCHEDULE A, PART III, LINE 12, EXPLANATION FOR OTHER INCOME:

MISCELLANEOUS INCOME (\$11,142)

DRAFT

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2013

Name of the organization

NEW BUILDINGS INSTITUTE

Employer identification number

68-0401509

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)(3) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization NEW BUILDINGS INSTITUTE	Employer identification number 68-0401509
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Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	SEA CHANGE FOUNDATION PIER 3, SUITE 105 SAN FRANCISCO, CA 94111	\$ 400,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	THE ENERGY FOUNDATION 301 BATTERY STREET, 5TH FLOOR SAN FRANCISCO, CA 94111	\$ 205,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
3	NYSERDA 17 COLUMBIA CIRCLE ALBANY, NY 12203-6399	\$ 100,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
4	NATIONAL GRID 40 SYLVAN ROAD WALTHAM, MA 02451	\$ 107,500.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
5	NW ENERGY EFFICIENCY ALLIANCE 529 S.W. THIRD AVENUE, SUITE 600 PORTLAND, OR 97204	\$ 106,250.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
6	VERMONT ENERGY INVESTMENT CORPORATION 128 LAKESIDE AVENUE, SUITE 401 BURLINGTON, VT 05401	\$ 60,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization NEW BUILDINGS INSTITUTE	Employer identification number 68-0401509
--	---

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	COMED 440 S. LASALLE STREET CHICAGO, IL 60605	\$ 50,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
8	PG&E CORPORATION FOUNDATION 77 BEALE STREET SAN FRANCISCO, CA 94105	\$ 50,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
9	EFFICIENCY MAINE 242 STATE STREET STATE HOUSE STATION 18 AUGUSTA, ME 04333	\$ 40,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
10	U.S. GREEN BUILDING COUNCIL P.O. BOX 404296 ATLANTA, GA 30384-4296	\$ 15,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
11	CAPE LIGHT COMPACT P.O. BOX 427 BARNSTABLE, MA 02630	\$ 10,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
12	AUTODESK 111 MCINNIS PARKWAY SAN RAFAEL, CA 94903	\$ 7,500.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization NEW BUILDINGS INSTITUTE	Employer identification number 68-0401509
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Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____

Name of organization NEW BUILDINGS INSTITUTE	Employer identification number 68-0401509
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Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once.) ▶ \$ _____
 Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ **Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.**
▶ **Attach to Form 990.**

▶ **Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990**

OMB No. 1545-0047

2013

Open to Public Inspection

Name of the organization **NEW BUILDINGS INSTITUTE** Employer identification number **68-0401509**

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate contributions to (during year)		
3 Aggregate grants from (during year)		
4 Aggregate value at end of year		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?		<input type="checkbox"/> Yes <input type="checkbox"/> No
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?		<input type="checkbox"/> Yes <input type="checkbox"/> No

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).
 Preservation of land for public use (e.g., recreation or education) Preservation of an historically important land area
 Protection of natural habitat Preservation of a certified historic structure
 Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Tax Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ _____

4 Number of states where property subject to conservation easement is located ▶ _____

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶ _____

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$ _____

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenues included in Form 990, Part VIII, line 1

(ii) Assets included in Form 990, Part X

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenues included in Form 990, Part VIII, line 1

b Assets included in Form 990, Part X

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items

(check all that apply):

- a Public exhibition
- b Scholarly research
- c Preservation for future generations
- d Loan or exchange programs
- e Other _____

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? Yes No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? Yes No

b If "Yes," explain the arrangement in Part XIII and complete the following table:

	Amount
c Beginning balance	1c
d Additions during the year	1d
e Distributions during the year	1e
f Ending balance	1f

2a Did the organization include an amount on Form 990, Part X, line 21? Yes No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

- a Board designated or quasi-endowment %
- b Permanent endowment %
- c Temporarily restricted endowment %

The percentages in lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

- (i) unrelated organizations

	Yes	No
3a(i)		
- (ii) related organizations

	Yes	No
3a(ii)		

b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

	Yes	No
3b		

4 Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements		5,186.	4,201.	985.
d Equipment		70,184.	62,455.	7,729.
e Other		8,189.	3,997.	4,192.
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).)				12,906.

Part VII Investments - Other Securities.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 12.) ▶		

Part VIII Investments - Program Related.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 13.) ▶		

Part IX Other Assets.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.) ▶	

Part X Other Liabilities.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.) ▶	

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII

Part IV Foreign Forms

- 1 Was the organization a U.S. transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* Yes No
- 2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A)* Yes No
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect To Certain Foreign Corporations. (see Instructions for Form 5471)* Yes No
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621)* Yes No
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect To Certain Foreign Partnerships. (see Instructions for Form 8865)* Yes No
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to file Form 5713, International Boycott Report. (see Instructions for Form 5713)* Yes No

Schedule F (Form 990) 2013

DRAFT

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information.

PART I, LINE 3, COLUMN (E):

REGION: REPUBLIC OF FIJI

(E) SPECIFIC TYPES OF SERVICES IN REGION: CONTRACT REVENUES FOR ADVISORY WORK PERFORMED BY NBI FOR THE DEPARTMENT OF ENERGY, REPUBLIC OF FIJI RELATED TO THE DEVELOPMENT OF NEW NATIONAL BUILDING ENERGY EFFICIENCY MEASURES.

DRAFT

Part II Fundraising Events. Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other events	(d) Total events
		(event type)	(event type)	(total number)	(add col. (a) through col. (c))
Revenue	1 Gross receipts				
	2 Less: Contributions				
	3 Gross income (line 1 minus line 2)				
Direct Expenses	4 Cash prizes				
	5 Noncash prizes				
	6 Rent/facility costs				
	7 Food and beverages				
	8 Entertainment				
	9 Other direct expenses				
	10 Direct expense summary. Add lines 4 through 9 in column (d)				
	11 Net income summary. Subtract line 10 from line 3, column (d)				

Part III Gaming. Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col. (a) through col. (c))
		1 Gross revenue			
Direct Expenses	2 Cash prizes				
	3 Noncash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
6 Volunteer labor	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No		
7 Direct expense summary. Add lines 2 through 5 in column (d)					
8 Net gaming income summary. Subtract line 7 from line 1, column (d)					

9 Enter the state(s) in which the organization operates gaming activities: _____
a Is the organization licensed to operate gaming activities in each of these states? Yes No
b If "No," explain: _____

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? Yes No
b If "Yes," explain: _____

- 11 Does the organization operate gaming activities with nonmembers? Yes No
- 12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? Yes No
- 13 Indicate the percentage of gaming activity operated in:

13a		%
13b		%

14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ► _____

Address ► _____

- 15a Does the organization have a contract with a third party from whom the organization receives gaming revenue? Yes No
- b If "Yes," enter the amount of gaming revenue received by the organization ► \$ _____ and the amount of gaming revenue retained by the third party ► \$ _____.
- c If "Yes," enter name and address of the third party:

Name ► _____

Address ► _____

16 Gaming manager information:

Name ► _____

Gaming manager compensation ► \$ _____

Description of services provided ► _____

Director/officer Employee Independent contractor

- 17 Mandatory distributions:
 - a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? Yes No
 - b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ► \$ _____

Part IV Supplemental Information. Provide the explanations required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions).

SCHEDULE G, PART I, LINE 2B, LIST OF TEN HIGHEST PAID FUNDRAISERS:

(I) NAME OF FUNDRAISER: SARAH COSTELLO

(I) ADDRESS OF FUNDRAISER: 7046 N.E. CLEVELAND AVE, PORTLAND, OR 97211

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990

OMB No. 1545-0047

2013

Open to Public
Inspection

Name of the organization

NEW BUILDINGS INSTITUTE

Employer identification number

68-0401509

FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

INCORPORATED AS A 501(C)(3) NONPROFIT IN 1997, THE INSTITUTE WORKS WITH NATIONAL, REGIONAL, STATE ORGANIZATIONS AND UTILITY GROUPS TO PROMOTE IMPROVED ENERGY PERFORMANCE IN COMMERCIAL NEW CONSTRUCTION, MANAGING PROJECTS INVOLVING BUILDING RESEARCH, DESIGN GUIDELINES AND CODE ACTIVITIES. ADDITIONALLY, THE INSTITUTE SERVES AS A CARRIER OF IDEAS BETWEEN STATES AND REGIONS, RESEARCHERS AND THE MARKET.

FORM 990, PART VI, SECTION B, LINE 11:

EXPLANATION: THE ORGANIZATION'S FORM 990 IS PREPARED BY AN OUTSIDE CPA FIRM. A DRAFT OF THE FORM 990 IS PROVIDED TO EXECUTIVE MANAGEMENT FOR REVIEW PRIOR TO ITS FILING.

FORM 990, PART VI, SECTION B, LINE 12C:

EXPLANATION: THE INSTITUTE PERFORMS A BIENNIAL REVIEW OF THE NBI POLICY HANDBOOK, WHICH CONTAINS THE CONFLICT OF INTEREST POLICY.

FORM 990, PART VI, SECTION B, LINE 15:

EXPLANATION: THE BOARD OF DIRECTORS APPROVES THE EXECUTIVE DIRECTOR'S SALARY AFTER THE REVIEW OF AN INDEPENDENT SALARY SURVEY CONDUCTED BY THE BOARD TREASURER.

FORM 990, PART VI, SECTION C, LINE 19:

EXPLANATION: THE ORGANIZATION MAKES ITS GOVERNING DOCUMENTS, CONFLICT OF INTEREST POLICY, AND FINANCIAL STATEMENTS AVAILABLE TO THE PUBLIC UPON

Name of the organization NEW BUILDINGS INSTITUTE	Employer identification number 68-0401509
--	---

REQUEST.

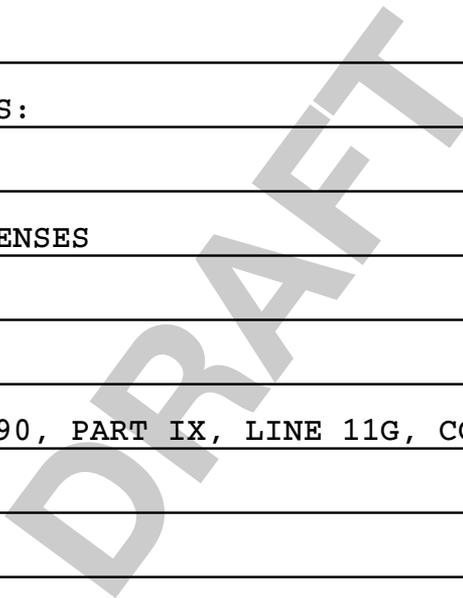
FORM 990, PART IX, LINE 11G, OTHER FEES:

PROJECT SUBCONTRACTORS:

PROGRAM SERVICE EXPENSES	432,041.
MANAGEMENT AND GENERAL EXPENSES	0.
FUNDRAISING EXPENSES	0.
TOTAL EXPENSES	432,041.

OTHER PROFESSIONAL SERVICES:

PROGRAM SERVICE EXPENSES	0.
MANAGEMENT AND GENERAL EXPENSES	2,554.
FUNDRAISING EXPENSES	5,865.
TOTAL EXPENSES	8,419.
TOTAL OTHER FEES ON FORM 990, PART IX, LINE 11G, COL A	440,460.



Application for Extension of Time To File an Exempt Organization Return

Department of the Treasury
Internal Revenue Service

▶ **File a separate application for each return.**
▶ **Information about Form 8868 and its instructions is at** www.irs.gov/form8868 .

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file) . You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

	Enter filer's identifying number	
Type or print	Name of exempt organization or other filer, see instructions. NEW BUILDINGS INSTITUTE	Employer identification number (EIN) or 68-0401509
File by the due date for filing your return. See instructions.	Number, street, and room or suite no. If a P.O. box, see instructions. 1601 BROADWAY STREET	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. VANCOUVER, WA 98663	

Enter the Return code for the return that this application is for (file a separate application for each return) 01

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

RALPH DINOLA

• The books are in the care of ▶ **1601 BROADWAY STREET - VANCOUVER, WA 98663**
Telephone No. ▶ **(360) 567-0950** Fax No. ▶ _____

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box . If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **FEBRUARY 17, 2015**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
▶ calendar year _____ or
▶ tax year beginning **JUL 1, 2013**, and ending **JUN 30, 2014**.

2 If the tax year entered in line 1 is for less than 12 months, check reason: Initial return Final return Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	0.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	0.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

New Buildings Institute, Inc.
Balance Sheet
As of December 31, 2014

	Dec 31, 14
ASSETS	
Current Assets	
Checking/Savings Banking	323,174.12
Total Checking/Savings	323,174.12
Accounts Receivable	
1200 · Accounts Receivable	486,715.99
Total Accounts Receivable	486,715.99
Other Current Assets	
1215 · Other Receivable	4,019.98
1300 · Inventory	8,085.34
1400 · Deposits	11,929.40
1450 · Prepaid Expenses	25,585.04
Total Other Current Assets	49,619.76
Total Current Assets	859,509.87
Fixed Assets	
1500 · Fixed Assets	15,804.74
Total Fixed Assets	15,804.74
TOTAL ASSETS	<u>875,314.61</u>
LIABILITIES & EQUITY	
Liabilities	
Current Liabilities	
Accounts Payable	
2000 · Accounts Payable	60,778.17
Total Accounts Payable	60,778.17
Credit Cards	
2050 · Capital Pacific Visa (Company Credit Card \$25,000)	25,179.58
Total Credit Cards	25,179.58
Other Current Liabilities	
2301 · PAYROLL LIABILITIES	88,300.68
2503 · ALL GRANTS & TR SPONSORSHIPS	193,442.30
Total Other Current Liabilities	281,742.98
Total Current Liabilities	367,700.73

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Accrual Basis

New Buildings Institute, Inc.

Balance Sheet

As of December 31, 2014

	Dec 31, 14
Total Liabilities	367,700.73
Equity	
3000 · Unrestricted Net Asset	-47,723.61
3100 · Temporarily Restrict Net Asset	195,702.32
3200 · Prior Period Adjustment	426,144.10
Net Income	-122,686.80
Total Equity	451,436.01
TOTAL LIABILITIES & EQUITY	<u>819,136.74</u>

New Buildings Institute, Inc.
Profit & Loss
July through December 2014

	Jul - Dec 14
Income	
PROGRAM SERVICE REVENUE	905,731.53
4000 · SPONSORSHIP INCOME (Sponsorship Income)	520,000.00 
4300 · Interest Income	297.51
4325 · Gain/Loss on disposal of assets	300.00
Total Income	1,426,329.04
Gross Profit	1,426,329.04
Expense	
01 Cost of Revenue	206,590.44
02 Business Dev & MarComm	40,917.00
03 Indirect Labor	63,302.80
05 Computer Services	11,386.82
06 Professional Dev & Training	2,820.00
08 Equipment (non-capital)	1,766.13
09 Occupancy	52,705.61
10 Office supplies/postage/deli	3,167.20
11 Marketing/Communications	6,987.33
12 Professional Fees	46,736.81
13 Payroll Related Fees	2,568.30
14 Insurance	3,105.94
15 Taxes	799.02
16 Fees	868.30
17 Travel, Lodging & meals	681.29
18 Salaries and Wages	546,069.88
Direct Costs	912.83
Subcontractors	40,186.52
9999 · Uncategorized Expense	12,410.60
Total Expense	1,043,982.82
Net Income	<u>382,346.22</u>

New Buildings Institute, Inc.
Profit & Loss
 July through December 2014

	Jul - Dec 14
Income	
PROGRAM SERVICE REVENUE	905,731.53
4000 · SPONSORSHIP INCOME (Sponsonship Income)	18,000.00
4300 · Interest Income	297.51
4325 · Gain/Loss on disposal of assets	300.00
Total Income	924,329.04
Gross Profit	924,329.04
Expense	
01 Cost of Revenue	206,590.44
02 Business Dev & MarComm	41,017.74
03 Indirect Labor	63,302.80
05 Computer Services	11,386.82
06 Professional Dev & Training	2,820.00
08 Equipment (non-capital)	1,766.13
09 Occupancy	52,818.72
10 Office supplies/postage/deli	3,176.71
11 Marketing/Communications	6,987.33
12 Professional Fees	49,546.47
13 Payroll Related Fees	2,568.30
14 Insurance	3,105.94
15 Taxes	799.02
16 Fees	868.30
17 Travel, Lodging & meals	681.29
18 Salaries and Wages	546,069.88
Direct Costs	912.83
Subcontractors	40,186.52
9999 · Uncategorized Expense	12,410.60
Total Expense	1,047,015.84

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Accrual Basis

New Buildings Institute, Inc.

Profit & Loss

July through December 2014

Net Income

Jul - Dec 14

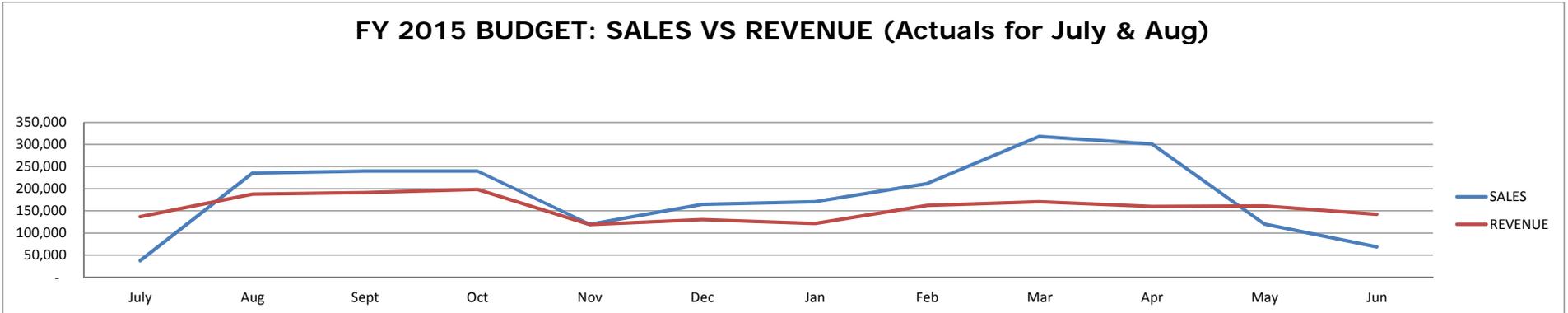
-122,686.80

New Buildings Institute, Inc.
A/R Aging Summary
As of December 31, 2014

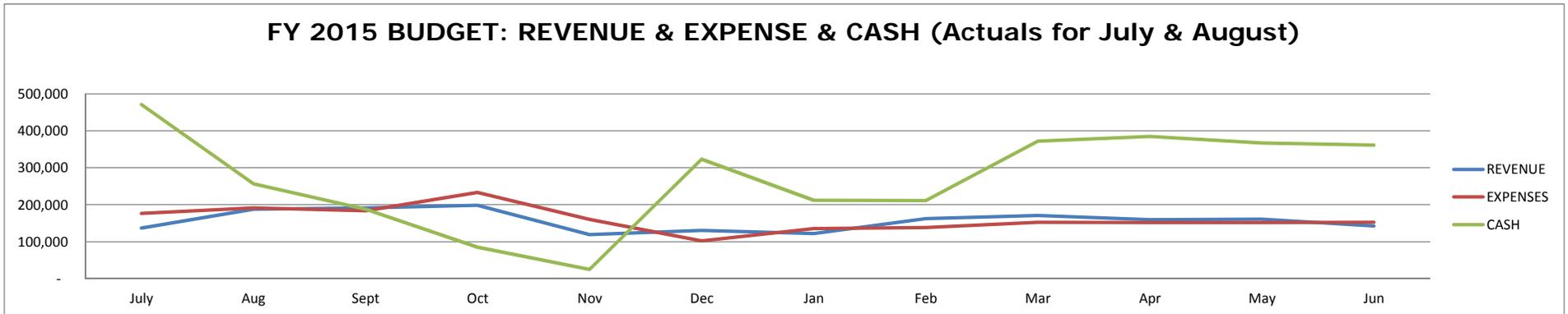
	<u>Current</u>	<u>1 - 30</u>	<u>31 - 60</u>	<u>61 - 90</u>	<u>> 90</u>	<u>TOTAL</u>
AB 2014						
AB SPONSORSHIPS						
CAPE LIGHT COMPACT	10,000.00	0.00	0.00	0.00	0.00	10,000.00
Total AB SPONSORSHIPS	10,000.00	0.00	0.00	0.00	0.00	10,000.00
AB 2014 - Other	0.00	0.00	0.00	0.00	0.00	0.00
Total AB 2014	10,000.00	0.00	0.00	0.00	0.00	10,000.00
ARUP North America Limited	2,972.50	0.00	0.00	0.00	0.00	2,972.50
Bullitt Foundation	0.00	0.00	0.00	0.00	0.00	0.00
CIEE ZNE TECH APP GUIDES	275.00	0.00	325.00	2,490.00	0.00	3,090.00
CLEARResult-ETO	375.00	0.00	0.00	300.00	0.00	675.00
CLEARResult - NC ASSESSMENT	747.50	0.00	487.50	4,140.00	0.00	5,375.00
COMNET/Codes	0.00	0.00	0.00	0.00	0.00	0.00
CPUC-KEMA	22,541.87	0.00	21,388.99	12,750.01	27,318.15	83,999.02
CSG MASS TRAINING	5,425.00	3,950.00	35.81	31,637.18	0.00	41,047.99
DUKE/KRESGE						
10-11 DUKE/KRESGE	0.00	0.00	200.90	0.00	0.00	200.90
Total DUKE/KRESGE	0.00	0.00	200.90	0.00	0.00	200.90
ECOLOGY ACTION	3,920.17	0.00	3,590.69	0.00	0.00	7,510.86
ENERGY FOUNDATION..						
2014-15, PHASE 7	0.00	0.00	0.00	0.00	0.00	0.00
Total ENERGY FOUNDATION..	0.00	0.00	0.00	0.00	0.00	0.00
FIRSTVIEW PROSPECTS						
12-21-10 City of Seattle II	0.00	3,400.00	0.00	0.00	0.00	3,400.00
Total FIRSTVIEW PROSPECTS	0.00	3,400.00	0.00	0.00	0.00	3,400.00
Integral Group	0.00	0.00	500.00	0.00	0.00	500.00
Madison Housing Project	0.00	0.00	0.00	0.00	16,510.00	16,510.00
MULTI-FAMILY PROJECT						
12-13 MACARTHUR GRANT	0.00	0.00	2,287.50	-2,287.50	0.00	0.00
Total MULTI-FAMILY PROJECT	0.00	0.00	2,287.50	-2,287.50	0.00	0.00
NAVIGANT						
13-16 VT CODE DEVELOPMENT	765.00	0.00	0.00	0.00	2,762.50	3,527.50
Total NAVIGANT	765.00	0.00	0.00	0.00	2,762.50	3,527.50
NBI SPONSORSHIPS						
ComEd	0.00	0.00	25,000.00	0.00	0.00	25,000.00
Efficiency Maine	40,000.00	0.00	0.00	0.00	0.00	40,000.00
Efficiency Vermont Sponsorship	0.00	25,000.00	0.00	0.00	0.00	25,000.00
NEEA Sponsorship	0.00	0.00	63,750.00	0.00	0.00	63,750.00
Pacific Gas and Electric Company	0.00	0.00	6,000.00	0.00	0.00	6,000.00
San Diego Gas & Electric	0.00	0.00	6,000.00	0.00	0.00	6,000.00
Southern California Edison	0.00	0.00	6,000.00	0.00	0.00	6,000.00
Weidt Group	0.00	15,000.00	0.00	0.00	0.00	15,000.00
Total NBI SPONSORSHIPS	40,000.00	40,000.00	106,750.00	0.00	0.00	186,750.00
NEEA COMMUNITY BUILDING RENEWAL	13,942.50	0.00	0.00	0.00	0.00	13,942.50
NEEA NCG Cost Study	24,698.75	0.00	0.00	0.00	0.00	24,698.75
NEEA SEM	5,422.50	0.00	0.00	0.00	0.00	5,422.50
NEEA WA Codes Support	5,906.25	0.00	0.00	0.00	0.00	5,906.25
NGRID CODE COMPLIANCE	10,688.75	0.00	0.00	0.00	0.00	10,688.75
Praxis Inc	0.00	0.00	0.00	0.00	1,150.60	1,150.60
Sea Change Foundation						
2014/15	0.00	0.00	0.00	0.00	0.00	0.00
Total Sea Change Foundation	0.00	0.00	0.00	0.00	0.00	0.00
TRC	0.00	0.00	0.00	0.00	715.00	715.00
WCEC	730.00	0.00	0.00	525.00	1,200.00	2,455.00
TOTAL	<u>148,410.79</u>	<u>47,350.00</u>	<u>135,566.39</u>	<u>49,554.69</u>	<u>49,656.25</u>	<u>430,538.12</u>

NEW BUILDINGS INSTITUTE FY 2015 BUDGET

FY 2015 BUDGET: SALES VS REVENUE (Actuals for July & Aug)



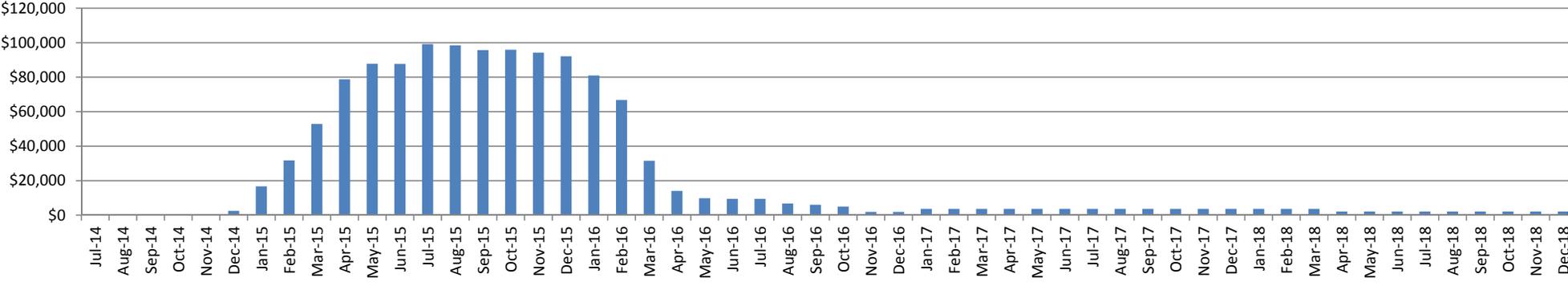
FY 2015 BUDGET: REVENUE & EXPENSE & CASH (Actuals for July & August)



GRAPH DATA	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	
SALES	37,499	235,299	240,000	240,000	240,000	120,000	165,000	170,750	211,250	318,250	301,000	120,250	68,750
REVENUE	137,128	188,088	191,291	198,355	118,943	130,524	121,712	162,120	170,869	159,910	152,082	161,066	142,508
EXPENSES	176,819	191,182	183,385	233,417	160,236	101,977	135,414	138,026	152,225	152,082	152,082	152,082	152,252
CASH	471,542	256,855	187,806	85,332	24,808	323,174	212,345	211,267	372,355	384,683	367,317	361,511	

Actuals from QuickBooks

Total, all prospects: Viability Score by Month (Revenue)



Total, all prospects: Viability Score by Month (Sales)

